

Light Horse Market Solutions Inc

Form CRS - Client Relationship Summary

Date: 03/01/2021

Item 1: Introduction

Light Horse Market Solutions Inc (the “Firm”) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

Questions to ask us:

- ***Given my financial situation, should I choose a brokerage service? Why or why not?***
- ***How will you choose investments to recommend to me?***
- ***What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?***

The Firm offers brokerage services to retail investors, including buying and selling securities. Our brokerage services include buying and selling securities at your direction. Among other products, we offer US equities, exchange traded funds (ETFs), and money market funds. The firm does not make recommendations. Light Horse does not act as a dealer to facilitate client transactions by buying or selling securities for its own account (known as principal trading). You must meet a certain criteria to open a brokerage account. The Firm does not make recommendations. For brokerage accounts, you make the ultimate decision regarding the investment strategy and the purchase or sale of investments given your investment objective, risk tolerance, financial circumstances, and investment needs.

Item 3: Fees, Costs, Conflicts, and Standard of

Questions to ask us: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? How might your conflicts of interest affect me, and how will you address them?

What fees will I pay? Our fees vary depending on the services you receive. Please see the firm’s fee details in the following link <https://www.lighthorse.io/static/pdf/Fee%20Schedule.pdf> You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

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What are your legal obligations to me? How else does your firm make money and what conflicts of interest do you have?

Under Regulation Best Interest we owe you transparency. At the same time, the way we make money may or may not conflict with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you.

Examples of Ways We Make Money and Conflicts of Interest of Light Horse

- Interest on uninvested cash deposited in interest-bearing bank accounts.
- Stock loan income from lending the stocks you purchase on margin.
- Rebates from our Clearing Firm, Apex, to which we route customer orders.
- Commission on buy and sell transactions

How do your financial professionals make money? Our financial professionals are compensated by salary and performance bonus not related to any of your securities transactions.

Item 4: Disciplinary History

Questions to ask us: As a financial professional, do you have any disciplinary history? For what type of conduct?

Do you or your financial professionals have legal or disciplinary history? Please visit www.Investor.gov/CRS for a free and simple search tool to research our financial professionals. Additional information can be found on FINRA's broker check link <https://www.brokercheck.finra.org/> which is a free tool to research the background and experience of financial brokers, advisers, and firms.

Item 5: Additional Information

Questions to ask us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

For additional information on our brokerage services please contact support@lighthouse.io or visit <https://brokercheck.finra.org/firm/summary/120242> to learn more about our firm.

Exhibit A – Material Changes to Client Relationship Summary

This is the initial version of the Client Relationship Summary. There are no material changes